

Disclosure in respect of transactions with related parties as per the standards specified by the Institute of Chartered Accountants of India.

- (i) Complete disclosure in respect of transactions with related parties as per the standards specified by the Institute of Chartered Accountants of India.

A. Enterprises owned or significantly influenced by key management personnel where control exists irrespective whether transactions have occurred or not

Nature of relationship	Related Party
Ultimate Holding Company	Neo Investment Value Advisors Private Limited
Holding Company	Neo Wealth Management Private Limited
Fellow subsidiary	Neo Markets Services Private Limited
Fellow subsidiary	Neo Investment & Finserv Private Limited
Subsidiary of Ultimate Holding Company	Neo Technology and Innovations Private Limited (Formerly known as Neo Insurance Broking Private Limited)
Subsidiary of Ultimate Holding Company	Neo Trusteeship Services Pvt Ltd (Formerly known as Neo Interactive Services Private Limited)
Fellow subsidiary	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)
Subsidiary of Ultimate Holding Company	Neofinity Services Pvt Ltd (Formerly known as Kashware Technologies Pvt Ltd)
Subsidiary of Ultimate Holding Company	Neo Advisory and Consulting Services Private Limited
Subsidiary of Ultimate Holding Company	Arvesta Financial Services Private Limited
Subsidiary of Ultimate Holding Company	Neo Capital Advisors Private Limited

Disclosure of Related Party Transactions on standalone basis for the year ended September 30, 2025. As per Indian Accounting Standard on related party disclosures (Ind AS 24), the names of the related parties of the Company are as follows:

*Amount in INR Lacs*

**Following transactions were carried out with related parties in the ordinary course of business**

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Nature of Transactions	Name of Related Party	Hold ing Com pan y/ Ulti mat e Hold ing Entit y	Fell ow Sub sidi ary	R el at iv e of Di re ct or	Gran d Tota l	Hold ing Com pan y/ Ulti mat e Hold ing Entit y	Fell ow Sub sidi ary	R el at iv e of Di re ct or	Gran d Tota l
i) Short Term Loans and Advances Given	Neo Market Services Pvt Ltd		2,99 4.00		<b>2,99</b> <b>4.00</b>		10,5 01.0 0		<b>10,5</b> <b>01.0</b> <b>0</b>
	Neo Wealth Management Pvt Ltd				-				-
					-				-
ii) Repayment of Short Term Loans and Advances Given	Neo Market Services Pvt Ltd		4,75 9.00		<b>4,75</b> <b>9.00</b>		10,1 55.0 0		<b>10,1</b> <b>55.0</b> <b>0</b>
	Neo Wealth Management Pvt Ltd				-	1,58 0.00			<b>1,58</b> <b>0.00</b>
					-				-
iii) Short Term Loans and Advances Taken	Neo Market Services Pvt Ltd				-		170. 79		<b>170.</b> <b>79</b>
	Neo Investment Value Advisors	14,8 95.1 0			<b>14,8</b> <b>95.1</b> <b>0</b>	410. 50			<b>410.</b> <b>50</b>
					-				-
iv) Repayment of Short Term Loans and Advances Taken	Neo Wealth Management Pvt Ltd				-				-
	Neo Investment Value Advisors	10,3 81.0 0			<b>10,3</b> <b>81.0</b> <b>0</b>	410. 50			<b>410.</b> <b>50</b>

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	Neo Market Services Pvt Ltd				-		170.79	<b>170.79</b>
					<b>0</b>			<b>0</b>
v) Interest Received on ICD	Neo Market Services Pvt Ltd		28.13		<b>28.13</b>		138.19	<b>138.19</b>
	Neo Wealth Management Pvt Ltd				-	6.98		<b>6.98</b>
					-			-
vi) Interest Paid on ICD	Neo Wealth Management Pvt Ltd				-			-
	Neo Investment Value Advisors	137.86			<b>137.86</b>	0.73		<b>0.73</b>
	Neo Market Services Pvt Ltd				-		0.28	<b>0.28</b>
					<b>0</b>			<b>0</b>
vii) Business Support Service	Neo Wealth Management Pvt Ltd				-	137.78		<b>137.78</b>
	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)				-			-
	Neo Investment & Finserv Private Limited				-		61.34	<b>61.34</b>
	Neo Technology And Innovation Private Limited (Formerly				-		0.14	<b>0.14</b>

	Known As Neo Insurance Broking Private Limited)								
	Neo Investment Value Advisors							163. 03	<b>163. 03</b>
								- 0	<b>0</b>
viii) Business Support Income	Neo Technology And Innovation Private Limited (Formerly Known As Neo Insurance Broking Private Limited)							-	-
	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)							32.4 6	<b>32.4 6</b>
	Neo Investment Value Advisors							-	-
	Neo Market Services Pvt Ltd							30.6 8	<b>30.6 8</b>
								<b>0</b>	<b>0</b>
ix) Share premium received	Neo Wealth Manageme nt Pvt Ltd							-	-
	Ruchika Daga							-	-
								<b>0</b>	<b>0</b>

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x) Equity Share Capital subscribed	Neo Wealth Managemen t Pvt Ltd				-				-
	Ruchika Daga				-				-
					<b>0</b>				<b>0</b>
xi) Referral expense	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)		1,31 5.86		<b>1,31</b> <b>5.86</b>		1,03 8.18		<b>1,03</b> <b>8.18</b>
	Neo Wealth Managemen t Pvt Ltd	465. 35			<b>465.</b> <b>35</b>	307. 05			<b>307.</b> <b>05</b>
					-				-
xii) Referral income	Neo Market Services Pvt Ltd				-				-
	Neo Wealth Managemen t Pvt Ltd				-				-
xiii) Trademark Expense	Neo Investment Value Advisors				-	5.00			<b>5.00</b>
<b>Outstanding</b>									
i) Short Term Loans & Advances Given	Neo Wealth Managemen t Pvt Ltd				-				-
	Neo Market Services Pvt Ltd				-		<b>1,76</b> <b>5.00</b>		<b>1,76</b> <b>5.00</b>
					<b>0</b>		-		<b>0</b>
ii) Short Term Loans & Advances Taken	Neo Investment Value Advisors	4,51 4.10			<b>4,51</b> <b>4.10</b>				
					<b>0</b>		-		<b>0</b>

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	Limited (Formerly Known As Neo Insurance Broking Private Limited)								
	Neo Investment & Finserv Private Limited					-	1.94		1.94
	Neo Trusteeship Services Pvt Ltd (Formerly known as Neo Interactive Services Private Limited)					-	0.21		0.21
	Neo Market Services Pvt Ltd					-	2.36		2.36
						-	-		-
v) Interest Receivable	Neo Market Services Pvt Ltd					-	-		-
	Neo Wealth Manageme nt Pvt Ltd					-	-		-
						-	-		-
vi) Interest Payable	Neo Investment Value Advisors	28.5 0				28.5 0			
vii) Interest Received in Advance	Neo Wealth Manageme nt Pvt Ltd					-	-		-
						-	-		-



					-				-
xi) Provision for Referral fees	Neo Wealth Management Pvt Ltd	390.50			<b>390.50</b>	159.91		-	<b>159.91</b>
	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)		551.23		<b>551.23</b>		<b>1.07</b>	-	<b>1.07</b>
xii) Prepaid for Referral fees	Neo Wealth Management Pvt Ltd	1,423.51			<b>1,423.51</b>	872.49		-	<b>872.49</b>
	Neo Wealth Partners Private Limited (formerly known as Transwealth Financial Services Private Limited)		3,455.48		<b>3,455.48</b>		<b>2,107.91</b>	-	<b>2,107.91</b>

### Other Related Party

Enterprises owned or significantly influenced by Key Management Personnel:

1. Swara Ventures LLP (LLP) is an investor in the PMS where Nitin Jain and Rashmi Jain are partners of the LLP where Nitin Jain holds directly or indirectly as the beneficial owner in the Group entities
2. Bridgemonte Advisors Private Limited is the client of the PMS where Mr. Visva Srikant Ayinavolu and Leela Rani Ayinavolu (Spouse of Mr. Visva Srikant Ayinavolu) is the majority Shareholder and the Director of Transwelath Financial Services Private Limited. He is also the Director of Neo Wealth Management Pvt Ltd holding company of Neo Asset Management Private Limited
3. Neo Wealth Partners Private Limited is the Subsidiary of Neo Wealth Management Pvt Ltd and ultimately held by Neo Investment Value Advisors P Ltd acting as the

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distributor to the products of the Company having Mr. Nitin Jain, Mr. Visva Srikant Ayinavolu, Mr. Subhajt Bhattacharjee, and Mr. Sudir Upadhayay as the Directors of the Company

4. Neo Wealth Management Pvt Ltd is the holding company of the Company which is a Stock Broking entity and has agreed to pay pure cost reimbursement charges to the Company for the expenses incurred by the Company for reporting, monitoring, digitisation etc. towards client reporting efficiencies
5. Morde Foods Pvt Ltd is holding over 22% preference shares in the Company which is a manufacturing company of chocolate and other related activities.
6. S M Damani Developers LLP is holding over 11% preference shares in the Company which is a development and other related activities.

#### Key Management Personnel ('KMP') of the Company

1. Hemant Daga – Director
2. Suresh Goyal –Director
3. Puneet Jain – Whole-time Director
4. Ms. Devina Khanna- Additional Director
5. Ankit Saraf- Compliance Officers
6. Arpee Jani –Assistant Vice President and Principal Officers

#### Key Management Personnel ('KMP') of the Ultimate Parent Company

1. Nitin Jain – Director
2. Puneet Jain – Director
3. Varun Bajpai – Director
4. Rashmi Jain – Director
5. Saakshi Chopra – Non Executive Director

#### Key Management Personnel ('KMP') of the Parent Company

1. Shajikumar Devakar – Director
2. Varun Bajpai– Director
3. Anupam Agal – Director
4. Pawan Kumar – Director
5. Raghavendra Prabhu – Director

#### Relatives or associates of the Key Management Personnel

1. Rashmi Jain – Spouse of Mr. Nitin Jain
2. Aditya Jain - Son of Mr. Nitin Jain and Rashmi Jain
3. Abhiraj Jain - Son of Mr. Nitin Jain and Rashmi Jain
4. Ruchika Daga – Spouse of Mr. Hemant Daga
5. Aarti Ramakrishnan – Spouse of Mr. Varun Bajpai
6. Vedika Bajpai – Daughter of Mr. Varun Bajpai and Aarti Ramakrishnan

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7. Aditi Bajpai - – Daughter of Mr. Varun Bajpai and Aarti Ramakrishnan
8. Vineet Bajpai – Brother of Mr. Varun Bajpai
9. Parul Goel – Spouse of Mr. Puneet Jain
10. Vidit Jain – Son of Mr. Puneet Jain and Parul Goel
11. Vitin Jain - Son of Mr. Puneet Jain and Parul Goel
12. Poonam Agal – Spouse of Mr. Anupam Agal
13. Jeet Agal – Son of Mr. Anupam Agal and Poonam Agal
14. Kanya Agal – Daughter of Mr. Anupam Agal and Poonam Agal
15. Ruchika Pasricha – Vice President of Neo Wealth Management P Ltd
16. Nirav Shah- Spouse of Arpee Jani
17. Kishore Jani & Bhavna Jani- Parents of Arpee Jani
18. Shalin Khanna- Spouse of Devina Khanna
19. Madhusudan Menon- Father of Devina Khanna
20. Mahnaz Menon- Mother of Devina Khanna
21. Payal Agrawal- Spouse of Ankit Saraf
22. Rajkumar Saraf- Father of Ankit Saraf
23. Sneha Rajkumar Saraf- Mother of Ankit Saraf

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